

Records Management Advice

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Electronic Records Management: How Long Do Backups Need to Be Kept?

Purpose: Provide guidance to state and local government entities on the retention of routine backups of electronic records.

"An agency is responsible for a security backup of active records. A security backup must be compatible with the current system configuration in use by the agency." (WAC 434-662-040)

Backups need to be kept until there is no longer an agency business need for them, because:

- Backups serve as a disaster preparedness strategy. Each agency determines the frequency at which backups are made.
- If an agency would not restore a system or record from an out-of-date backup, then there is no need to retain that backup.

Backups can be destroyed in accordance with:

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
GS 14011 Rev. 1 (for State Government Agencies)	Backups for Disaster Preparedness/Recovery Routine/cyclical backups of IT systems and data for the purposes of disaster preparedness and recovery. State Government General Records Retention Schedule	Retain until no longer needed for agency business then Destroy.	NON-ARCHIVAL ESSENTIAL OFM
GS50-06A-02 Rev. 1 (for Local Government Agencies)	Routine, cyclical backups of the agency's electronic records created for the purpose of disaster preparedness and recovery. Local Government Common Records Retention Schedule (CORE)	Retain until no longer needed for agency business then Destroy.	NON-ARCHIVAL ESSENTIAL OFM

BACKUPS SHOULD NOT BE USED AS A LONG-TERM STORAGE SOLUTION FOR ELECTRONIC RECORDS

Additional advice regarding the management of public records is available from Washington State Archives:

www.sos.wa.gov/archives recordsmanagement@sos.wa.gov