## Air Pollution Control Authorities Records Retention Schedule Version 1.0 (November 2012)

# This schedule applies to: Air Pollution Control Authorities

#### Scope of records retention schedule

This records retention schedule authorizes the destruction/transfer of the public records of air pollution control authorities relating to the function of implementing air pollution prevention and control programs in accordance with <u>chapter 70.94 RCW</u>. It is to be used in conjunction with the <u>Local Government Common Records Retention Schedule (CORE)</u>, which authorizes the destruction/transfer of public records common to all local government agencies. <u>CORE</u> can be accessed online at: http://www.sos.wa.gov/archives/recordsretentionschedules.aspx.

#### Disposition of public records

Public records covered by records series within this records retention schedule must be retained for the minimum retention period as specified in this schedule. Washington State Archives strongly recommends the disposition of public records at the end of their minimum retention period for the efficient and effective management of local resources.

Public records designated as Archival (Permanent Retention) or Non-Archival (with a retention period of "Life of the Agency" or "Permanent") must not be destroyed. Records designated as Archival (Appraisal Required) must be appraised by the Washington State Archives before disposition. Public records must not be destroyed if they are subject to ongoing or reasonably anticipated litigation. Such public records must be managed in accordance with the agency's policies and procedures for legal holds. Public records must not be destroyed if they are subject to an existing public records request in accordance with chapter 42.56 RCW. Such public records must be managed in accordance with the agency's policies and procedures for public records requests.

In addition to the minimum retention requirements specified in this schedule, there may be additional (longer) retention requirements mandated by federal, state and/or local statute, grant agreement, and/or other contractual obligations.

### Revocation of previously issued records retention schedules

All previously approved disposition authorities for records that are covered by this retention schedule are revoked, including those listed in all general and agency unique retention schedules. Local government agencies should take measures to ensure that the retention and disposition of public records is in accordance with current approved records retention schedules.

Authority

This records retention schedule was approved by the Local Records Complittee in accordance with RCW 40.14.070 on November 29, 2012.

For the State Auditor: Cindy Evans

For the Attorney General: Sharon Payant

The State Archivist: Jerry Handfield

Page 1 of 10

## **REVISION HISTORY**

Version	Date of Approval	Extent of Revision	
1.0	November 29, 2012	New sector schedule created from records series imported from version 5.2 of the <i>Local Government General Records Retention Schedule (LGGRRS)</i> , which has been dismantled. All disposition authority numbers (DANs) have been assigned a prefix of "AP" and a revision number of "0" (zero). A glossary of terms is located in the <i>Local Government Common Records Retention Schedule (CORE)</i> .	

# SUPERSEDED



## **TABLE OF CONTENTS**

1. AGENCY MANAGEMENT		
	1.1 1.1	PLANNING
2.	AIR	POLLUTION CONTROL
	2.1	AUTHORIZING, MONITORING AND REGULATING
	2.2	VIOLATIONS AND ENFORCEMENT
INIDE	/EC	

# SUPERSEDED

For assistance and advice in applying this records retention schedule,

please contact Washington State Archives at:

recordsmanagement@sos.wa.gov

or contact your Regional Archivist.

### 1. AGENCY MANAGEMENT

The function relating to the overarching management of the local government agency, where not covered by CORE.

#### 1.1 PLANNING

The activity of documenting and determining the local government agency's objectives, and the means for obtaining them, where not covered by CORE.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
AP65-01-05 Rev. 0	AIR RESOURCES MANAGEMENT IMPLEMENTATION PLAN Plans mandated by the Federal Clean Air Act for attaining compliance with federal air pollution regulations. Prepared by individual authorities and compiled by the State Department of Ecology.	Retain for 6 years after superseded then Transfer to Washington State Archives for appraisal and selective retention.	ARCHIVAL (Appraisal Required) ESSENTIAL OPR
AP65-01-06 Rev. 0	AIR RESOURCES MANAGEMENT MAINTENANCE PLAN Plans mandated by the Federal Clean Air Act for maintaining compliance with federal air pollution regulations. Prepared by individual authorities and compiled by the State Department of Ecology.	Retain for 6 years after superseded then Transfer to Washington State Archives for appraisal and selective retention.	ARCHIVAL (Appraisal Required) ESSENTIAL OPR



# 1.1 REPORTING

The activity of providing information as required by regulating authorities, where not covered by CORE.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
AP65-01-03 Rev. 0	AIR QUALITY DATA SUMMARIES Annual report of regional air quality statistics, including data collected from air monitoring sites.	Retain for 6 years after end of calendar year then Transfer to Washington State Archives for appraisal and selective retention.	ARCHIVAL (Appraisal Required) ESSENTIAL OPR

# SUPERSEDED

### 2. AIR POLLUTION CONTROL

The function of enforcing clean air laws within the air quality authority's jurisdiction.

# 2.1 AUTHORIZING, MONITORING AND REGULATING

The activity of authorizing and monitoring sources of air pollution in order to regulate the prevention, control, recovery and/or release of contaminants into the atmosphere.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
AP65-01-01 Rev. 0	AIR POLLUTION SOURCE HISTORY FILES Information compiled on permanent, temporary and portable sources of air pollution regulated under state and federal air pollution law, including emissions inventory, permit, inspection, compliance, complaint, enforcement and remedy documentation. Also includes Title V Sources and gas stations.	Retain for 6 years after source of pollution no longer exists then Transfer to Washington State Archives for appraisal and selective retention.	ARCHIVAL (Appraisal Required) ESSENTIAL OPR
AP65-01-07 Rev. 0	ASBESTOS CONTRACTORS NOTIFICATIONS AND REPORTS Documentation of notifications and reports required to be filed with local air quality authorities by individual asbestos abatement contractors.	Retain for 6 years after end of reporting period then Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
AP65-01-09 Rev. 0	SITE MONITORING EQUIPMENT OPERATING AND MAINTENANCE DOCUMENTATION Logs and reports documenting the maintenance and operation on monitoring equipment at source sites.	Retain for 3 years after date of report or last log entry then Destroy.	NON-ARCHIVAL NON-ESSENTIAL OFM
AP65-01-10 Rev. 0	WEATHER MONITORING REPORTS Reports regularly compiled from weather monitoring stations that document the general condition of the weather as well as conditions that may lead to regulatory actions, such as burning bans.	Retain for 3 years after end of calendar year then Transfer to Washington State Archives for appraisal and selective retention.	ARCHIVAL (Appraisal Required) ESSENTIAL OFM



# 2.2 VIOLATIONS AND ENFORCEMENT

The activity of enforcing laws designed to prevent and reduce air pollution.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
AP65-01-02 Rev. 0	AIR QUALITY COMPLAINT FILES  Documentation of complaints not associated with a regulated source, including initial report, investigation and follow-up.	Retain for 6 years after matter resolved then Transfer to Washington State Archives for appraisal and selective retention.	ARCHIVAL (Appraisal Required) ESSENTIAL OPR
AP65-01-08 Rev. 0	BURNING INCIDENT FILES  Documentation of investigations and enforcement actions regarding smoke and other contaminants generated by indoor, outdoor and agricultural burning.  Reference WAC 173-425, WAC 173-430, and WAC 173-433.	Retain for 6 years after matter resolved then Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR



# **INDEX: ARCHIVAL RECORDS**

AGENCY MANAGEMENT	AIR POLLUTION CONTROL
Planning	Authorizing, Monitoring and Regulating
Air Resources Management Implementation Plan 4	Air Pollution Source History Files6
Air Resources Management Maintenance Plan 4	Weather Monitoring Reports6
Reporting	Violations and Enforcement
Air Quality Data Summaries5	Air Quality Complaint Files
AGENCY MANAGEMENT Planning Air Resources Management Implementation Plan	AIR POLLUTION CONTROL Authorizing, Monitoring and Regulating Air Pollution Source History Files Weather Monitoring Reports Violations and Enforcement Air Quality Complaint Files
INDEX: DISPOSITION	AUTHORITY NUMBERS (DANs)
AP65-01-01 6 AP65-01-05 4	AP65-01-08 7
AP65-01-02 7 AP65-01-06	AP65-01-09 6
AP65-01-03 5 AP65-01-07 6	AP65-01-10 6

INDEXES Page 8 of 10



# **INDEX: SUBJECTS**

NOTE: "CORE" refers to the Local Government Common Records Retention Schedule.

	design/constructionsee CORE	
A		1
accounting	Ecology, Department of Federal Clean Air Act	indoor burning
benefits (human resources)see CORE boards/councils/committeessee CORE burning (indoor/outdoor)7	financial	legal (advice, litigation, legal affairs)see CORE
C	G	mail/deliverysee CORE maintenancesee CORE
community relationssee CORE complaintssee also CORE air quality7	glossary of termssee CORE governing bodiessee CORE	meetings         see CORE           minutes         see CORE           monitoring         see also CORE           weather         6
construction see CORE contractors (asbestos)	Н	monitoring equipment
contracts see CORE	history files (air pollution sources)	0
D		outdoor burning7
data summaries (air quality)5		

## Air Pollution Control Authorities Records Retention Schedule Version 1.0 (November 2012)

P

payroll	see CORE
permits	
air pollution	6
plans	see also CORE
air resources management	4
property management	see CORE
public disclosure	see CORF

R

records management	see COR
reports	see also COR
air quality summaries	
asbestos contractors	
site monitoring	
weather monitoring	

S

security	see CORI
site monitoring	
source history files	
staff records	
statistics (air quality)	
statistics (an quanty)	

W

SUPERSEDED weather monitoring......6

Page 10 of 10