This schedule applies to: County Clerks

Scope of records retention schedule
This records retention schedule covers the public records of County Clerks relating to the functions of keeping and protecting the records of the Superior Court (including all records appertaining to the Juvenile Court pursuant to RCW 13.04.021); collecting statutory fees and fines, disbursing funds, and investing monies on behalf of the Superior Court; summoning and managing jurors in accordance with chapter 2.36 RCW; filing and recording public documents; and other duties in accordance with chapter 36.23 RCW, RCW 2.32.050 and other state/local statute or court rule. It is to be used in conjunction with the Local Government Common Records Retention Schedule (CORE), which authorizes the destruction/transfer of public records common to all local government agencies.

Disposition of public records
Public records covered by records series within this records retention schedule must be retained for the minimum retention period as specified in this schedule. Washington State Archives (WSA) strongly recommends the disposition of public records at the end of their minimum retention period for the efficient and effective management of local resources.

Public records designated as “Archival (Permanent Retention)” or Non-Archival (with a retention period of “Permanent”) must not be destroyed. Records designated as “Archival (Appraisal Required)” must be appraised by the Washington State Archives before disposition. Public records must not be destroyed if they are subject to ongoing or reasonably anticipated litigation. Such public records must be managed in accordance with the agency’s policies and procedures for legal holds. Public records must not be destroyed if they are subject to an existing public records request in accordance with chapter 42.56 RCW and/or General Court Rule GR 31.1. Such public records must be managed in accordance with the agency’s policies and procedures for public records requests.

Revocation of previously issued records retention schedules
All previously issued records retention schedules for records that are covered by this retention schedule are revoked. County Clerks must ensure that the retention and disposition of public records is in accordance with current, approved records retention schedules.

Authority
This records retention schedule was approved by the Local Records Committee in accordance with RCW 40.14.070 on October 4, 2023.

Signature on File
For the State Auditor: Al Rose

Signature on File
For the Attorney General: Matt Kernutt

Signature on File
The State Archivist: Heather Hirotaka
## REVISION HISTORY

<table>
<thead>
<tr>
<th>Version</th>
<th>Date of Approval</th>
<th>Extent of Revision</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.0</td>
<td>March 1983</td>
<td>First version.</td>
</tr>
<tr>
<td>2.0</td>
<td>August 1993</td>
<td>Major revision.</td>
</tr>
<tr>
<td>3.0</td>
<td>February 2001</td>
<td>Major revision.</td>
</tr>
<tr>
<td>4.0</td>
<td>December 2001</td>
<td>Updates to records series originating in <em>Local Government General Records Retention Schedule (LGGRRS)</em>.</td>
</tr>
<tr>
<td>5.0</td>
<td>2006 - 2007</td>
<td>Accounting and Electronic Information sections updated.</td>
</tr>
<tr>
<td>6.0</td>
<td>January 29, 2009</td>
<td>Records series common to all local government agencies now appear in the new <em>Local Government Common Records Retention Schedule (CORE)</em> and have been removed from this schedule. All Disposition Authority Numbers (DANs) in the <em>County Clerk and Clerk of the Superior Court Records Retention Schedules</em> now begin with the prefix “CL”; there have been no changes to titles, descriptions, retention periods, or archival designations.</td>
</tr>
</tbody>
</table>
| 7.0     | June 26, 2014    | Complete revision; all records series consolidated and updated. 10 new series; 20 revised; 35 discontinued. Juvenile Court is a division of Superior Court; consequently, official Juvenile Court records are covered in this retention schedule.  
  - The *Juvenile Courts and Services Records Retention Schedule (Ver. 1.0)* was revoked effective 6/26/2014.  
  - Juvenile “social files” are covered in the *Social Services Records Retention Schedule* approved 6/26/2014.  
  - References to chapter 13.50 RCW have not been updated to reflect 2014 Legislation; awaiting web update on leg.wa.gov.  
  All changes detailed in the Summary of Changes. |
| 7.1     | December 4, 2019 | Minor revisions to the “County Clerk Filings and Recordings” and “Juvenile Offender Records Eligible For Early Destruction Pursuant to Chapter 13.50 RCW” sections. |
| 8.0     | October 4, 2023  | Major revision, including separation of schedule into the *County Clerks Records Retention Schedule* and the *Superior Courts Records Retention Schedule*. |
For assistance and advice in applying this records retention schedule, please contact your agency’s Records Officer or Washington State Archives at: recordsmanagement@sos.wa.gov
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## 1. COUNTY CLERK FILINGS AND RECORDINGS

The function of filing and/or recording documents in accordance with federal, state, and local statute or court rule.

<table>
<thead>
<tr>
<th>DISPOSITION AUTHORITY NUMBER (DAN)</th>
<th>DESCRIPTION OF RECORDS</th>
<th>RETENTION AND DISPOSITION ACTION</th>
<th>DESIGNATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>CL65-01-33 Rev. 1</td>
<td><strong>Bonds – Elected Official</strong>&lt;br&gt;Bonds of elected officials filed with the County Clerk in accordance with federal, state, or local statute.&lt;br&gt;Note: The County Clerk’s bond is recorded with the County Auditor and filed with the County Treasurer.</td>
<td>Retain permanently.</td>
<td>NON-ARCHIVAL ESSENTIAL (for Disaster Recovery) OPR</td>
</tr>
<tr>
<td>CL65-01-32 Rev. 1</td>
<td><strong>Oaths of Office – Staff and Contractors</strong>&lt;br&gt;Oaths of office of Superior Court staff and contractors filed with the County Clerk in accordance with federal, state, or local statute or court rule. Includes court commissioners, court reporters, bailiffs, etc. Excludes oaths of elected officials covered by:&lt;br&gt;• Oaths of Office – Filed or Recorded with County Auditor (DAN GS50-05A-15);&lt;br&gt;• Oaths of Office – Not Filed or Recorded with County Auditor (DAN GS2012-023).</td>
<td>Retain for 6 years after end of appointment or termination of service then Destroy.</td>
<td>NON-ARCHIVAL NON-ESSENTIAL OPR</td>
</tr>
<tr>
<td>DISPOSITION AUTHORITY NUMBER (DAN)</td>
<td>DESCRIPTION OF RECORDS</td>
<td>RETENTION AND DISPOSITION ACTION</td>
<td>DESIGNATION</td>
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<tr>
<td>CL2019-002 Rev. 0</td>
<td><strong>Voluntary Waivers of Firearm Rights</strong>&lt;br&gt;Records relating to the filing and revocation of voluntary waivers of firearm rights with the Clerk of the Court in accordance with RCW 9.41.350.&lt;br&gt;Includes, but is not limited to:&lt;br&gt;• Waivers;&lt;br&gt;• Revocation of waivers;&lt;br&gt;• Submission of waivers/revocations to Washington State Patrol;&lt;br&gt;• Related correspondence/communications.&lt;br&gt;Note: Retention is based on an average anticipated lifespan of an adult and the destruction of all records upon receipt of revocation is based on RCW 9.41.350(2).</td>
<td><strong>Retain</strong> for 75 years after submission to Washington State Patrol&lt;br&gt;or&lt;br&gt;Upon receipt of revocation, whichever is sooner&lt;br&gt;then&lt;br&gt;<strong>Destroy.</strong></td>
<td>NON-ARCHIVAL NON-ESSENTIAL OPR</td>
</tr>
</tbody>
</table>
## 2. SUPERIOR COURT RECORDS

The function of making or receiving records by the County Clerk/Superior Court while performing duties pursuant to chapter 36.23 RCW, RCW 2.32.050, and other state and local statute or court rule.

<table>
<thead>
<tr>
<th>DISPOSITION AUTHORITY NUMBER</th>
<th>DESCRIPTION OF RECORDS</th>
<th>RETENTION AND DISPOSITION ACTION</th>
<th>DESIGNATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>CL2014-009 Rev. 0</td>
<td><strong>Appointed Counsel – Certification of Compliance</strong></td>
<td>Retain for 75 years after filed with the court then Destroy.</td>
<td>NON-ARCHIVAL NON-ESSENTIAL OPR</td>
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<tr>
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<td>Signed certifications of compliance filed by attorneys who have been appointed to</td>
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<td></td>
<td>represent indigent persons pursuant to Supreme Court Order #25700-A-1004 (beginning</td>
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<tr>
<td></td>
<td>9/1/2012).</td>
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<td></td>
</tr>
<tr>
<td>CL65-01-09 Rev. 1</td>
<td><strong>Clerk’s Minutes</strong></td>
<td>Retain permanently.</td>
<td>NON-ARCHIVAL NON-ESSENTIAL OPR</td>
</tr>
<tr>
<td></td>
<td>Notes/record/journal/minutes of Superior Court proceedings created by the Clerk in</td>
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<td>accordance with RCW 2.32.050 and where not placed in individual case files. Includes</td>
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<td>minutes recorded on court calendars.</td>
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<tr>
<td>DISPOSITION AUTHORITY NUMBER (DAN)</td>
<td>DESCRIPTION OF RECORDS</td>
<td>RETENTION AND DISPOSITION ACTION</td>
<td>DESIGNATION</td>
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</tr>
<tr>
<td>CL65-01-22 Rev. 1</td>
<td><strong>Exhibits</strong></td>
<td>Retain until court order</td>
<td>ARCHIVAL</td>
</tr>
</tbody>
</table>
|                                  | Documents, photographs, and audiovisual media received by the court as evidence during a trial or hearing in Superior Court (including Juvenile Court). Also includes exhibits included in appeals from lower courts and administrative agencies. Excludes:  
  - Artifacts used as exhibits, which should be managed in accordance with RCW 36.23.070 and GR 20;  
  - Exhibits marked but not offered and/or marked but rejected pursuant to CR 5(i);  
  - Orders to destroy exhibits and the written findings supporting orders to destroy, which are covered by Superior Court Case Files (DAN CL65-01-08) or Superior Court Case Files (Juvenile Court) (DAN CL50-28-10) (pursuant to GR 15(h)(4)(C));  
  - Reporter’s notes covered by Oral Testimony and Proceedings (DAN CL65-01-12).  
  Note: Retention based on requirements per SPRC 7; GR15(i); RAP 5.2 Time Allowed to File Notice; RCW 36.23.070; CR 5(i); and GR 20.  
  Certain exhibits may have enduring historical value for future research. For guidance on how to identify historically valuable exhibits, please refer to Washington State Archives (WSA) publication Notorious/Historically Significant Court Exhibits & Recordings of Proceedings, or contact WSA. | Retain until death of defendant in capital cases where the death penalty has been imposed and  
Provide 60 days’ notice pursuant to SPRC 7 in all capital cases then  
As ordered by the court,  
Return (to law enforcement agency, plaintiff, defendant, or lower court) or  
Transfer exhibits of possible value to the county sheriff pursuant to RCW 36.23.070 or  
Transfer exhibits of historical value as determined by the Clerk to Washington State Archives and  
Destroy remaining exhibits. | (Appraisal Required) NON-ESSENTIAL OPR |
<table>
<thead>
<tr>
<th>DISPOSITION AUTHORITY NUMBER (DAN)</th>
<th>DESCRIPTION OF RECORDS</th>
<th>RETENTION AND DISPOSITION ACTION</th>
<th>DESIGNATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>CL2014-010 Rev. 0</td>
<td><strong>Filed Documents (Miscellaneous)</strong></td>
<td>Retain permanently</td>
<td>NON-ARCHIVAL ESSENTIAL (for Disaster Recovery) OPR</td>
</tr>
<tr>
<td></td>
<td>All documents filed with the Superior Court Clerk that are <em>not filed with/in a case file and that are</em> <em>not covered by a more specific records series.</em></td>
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<td>Includes, but is not limited to:</td>
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<td>• Administrative orders;</td>
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<td>• Judicial resolutions;</td>
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<td>• Jury show-cause orders and bench warrants;</td>
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<td>• Miscellaneous orders not related to a case (general orders, jury term orders, inclement weather, etc.).</td>
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<td>Excludes records covered by:</td>
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<td></td>
<td>• Appointed Counsel – Certification of Compliance (DAN CL2014-009);</td>
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<td>• Search Warrants (DAN CL65-01-23).</td>
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</tr>
<tr>
<td>CL65-01-23 Rev. 1</td>
<td><strong>Grand Jury</strong></td>
<td>Retain one copy permanently and Contact Washington State Archives for appraisal and selective retention of paper/hard copy, electronic, and microfilm copies.</td>
<td>ARCHIVAL (Appraisal Required) ESSENTIAL (for Disaster Recovery) OPR</td>
</tr>
<tr>
<td></td>
<td>Records relating to a grand jury impaneled by Superior Court to hear, examine and investigate evidence concerning criminal activity and corruption in accordance with chapter 10.27 RCW.</td>
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<td>Excludes juror records covered by:</td>
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<td></td>
<td>• Jury Duty – General (DAN CL65-01-27);</td>
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<td>• Jury Duty – Special Questionnaires (DAN CL2014-016);</td>
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<td></td>
<td>• Jury List (Master and Source) (DAN CL65-01-26).</td>
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<tr>
<td>DISPOSITION AUTHORITY NUMBER (DAN)</td>
<td>DESCRIPTION OF RECORDS</td>
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<tr>
<td>CL65-01-12 Rev. 1</td>
<td>Oral Testimony and Proceedings</td>
<td>Retain until court order authorizing transfer or destruction of record(s) has been signed and entered and Retain until death of defendant in capital cases where the death penalty has been imposed and Provide 60 days’ notice pursuant to SPRC 7 in all capital cases then As ordered by the court, Transfer record(s) of historical value as determined by the Clerk to Washington State Archives and Destroy remaining records.</td>
<td>ARCHIVAL (Appraisal Required) NON-ESSENTIAL OPR</td>
</tr>
</tbody>
</table>

Record of oral testimony and other oral proceedings in Superior Court captured by court reporter pursuant to RCW 2.32.200 and/or electronic or mechanical device pursuant to CR 80 and retained by the Superior Court Clerk in accordance with SPRC 3 and SPRC 7. Includes recordings of juvenile court proceedings pursuant to JuCR 10.2.

Formats include, but are not limited to, audio, video, analog, digital, shorthand notes, etc.

Excludes verbatim reports of proceedings received from lower courts/administrative forums (covered by Superior Court Case File (DAN CL65-01-08)) and/or transferred to appellate court (covered by Verbatim Report of Proceedings (DAN CL65-01-44)).

Reference:

- SPRC 7 “No records, exhibits, or stenographic notes shall be considered for destruction in a case in which the death penalty has been imposed while the defendant is still alive. Before destroying any records, exhibits, or notes in a capital case, the clerk will provide 60 days notice…to the prosecuting attorney, to the defendant’s last known attorney of record, and to the defendant…”
- RCW 36.23.070 “A county clerk may at any time more than six years after the entry of final judgment in any action apply to the superior court for an authorizing order and, upon such order being signed and entered…destroy…reporters’ notes which have theretofore been filed in such cause: PROVIDED, That reporters’ notes in criminal cases must be preserved for at least fifteen years….”

Note: Certain records may have enduring historical value for future research. For guidance on how to identify historically valuable records, please see Washington State Archives’ advice sheet Notorious/Historically Significant Court Exhibits & Recordings of Proceedings, or contact WSA.
<table>
<thead>
<tr>
<th>DISPOSITION AUTHORITY NUMBER (DAN)</th>
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<th>RETENTION AND DISPOSITION ACTION</th>
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</tr>
</thead>
</table>
| CL65-01-35 Rev. 1                 | **One-Party Consent Orders**
Records relating to one-party consent orders issued by a Superior Court judge pursuant to chapter 9.73 RCW for the interception of wire or electronic communication or conversation, where not filed with/in a case file.
Includes, but is not limited to:
- Wiretaps, sealed and unsealed (applications, reviews, etc.);
- Pen registers/trap & trace devices, sealed (RCW 9.73.260).
| Retain permanently.             | NON-ARCHIVAL ESSENTIAL (for Disaster Recovery) OPR |
| CL65-01-37 Rev. 1                | **Search Warrants**
Records relating to search warrants issued in accordance with RCW 10.79.015, RCW 9A.72.085, and/or other state or federal statute or court rule.
Includes, but is not limited to:
- Affidavit/sworn testimony establishing the grounds for issuing a warrant;
- Inventory of property taken;
- Return.
Reference:
- AR 2.3 (c) Search and Seizure. “The sworn testimony may be an electronically recorded telephonic statement. The recording...shall be a part of the court record and shall be transcribed if requested by a party if there is a challenge to the validity of the warrant or if ordered by the court....”
- GR 15(h)(5) “This subsection shall not prevent the routine destruction of court records pursuant to applicable preservation and retention schedules.”
- WAC 434-662-040 “Electronic records must be retained in electronic format and remain usable, searchable and retrievable, and authentic for the length of the designated retention period.”
<p>| Retain for 75 years after filed then Destroy. | NON-ARCHIVAL ESSENTIAL (for Disaster Recovery) OPR |</p>
<table>
<thead>
<tr>
<th>DISPOSITION AUTHORITY NUMBER (DAN)</th>
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</thead>
<tbody>
<tr>
<td>CL65-01-38 Rev. 1</td>
<td><strong>Special Inquiry Judge</strong>&lt;br&gt;Records relating to a special inquiry judge hearing and receiving evidence of crime and corruption in accordance with RCW 10.27.170 – 190.</td>
<td>Retain permanently.</td>
<td>NON-ARCHIVAL ESSENTIAL (for Disaster Recovery) OPR</td>
</tr>
<tr>
<td>CL65-01-08 Rev. 2</td>
<td><strong>Superior Court Case Files</strong>&lt;br&gt;Official documentation of Superior Court proceedings and filings. Includes sealed records. Cases include, but are not limited to:&lt;br&gt;• Criminal (including juveniles tried as adults pursuant to RCW 13.40.110);&lt;br&gt;• Civil (tax warrant, tax foreclosure, change of name pursuant to RCW 4.24.130, etc.);&lt;br&gt;• Family/Domestic (child custody, adoption, juvenile dependency, parentage, etc.);&lt;br&gt;• Wills/probate, guardianship, mental illness, alcohol, etc. Records include, but are not limited to:&lt;br&gt;• Dockets, indexes, registers, etc.;&lt;br&gt;• Charging information, summons, clerk’s minutes;&lt;br&gt;• Motions, published depositions, decrees, verdicts, orders, no-contact orders, and other filings;&lt;br&gt;• Judgments (abstracts, civil, transcripts, foreign, etc.);&lt;br&gt;• Warrants, notices of appearance, failure to appear, etc.;&lt;br&gt;• Juror and witness daily attendance lists (see note, below);&lt;br&gt;• Letters of administration/conservatorship/guardianship/testamentary;&lt;br&gt;• Guardian ad Litem (GAL) reports;&lt;br&gt;• Arbitration awards;&lt;br&gt;• Verbatim report of proceedings received from lower courts or administrative forums. Excludes records covered more specifically elsewhere, including, but not limited to:&lt;br&gt;• Juvenile Court case files covered by CL50-28-10;&lt;br&gt;• Exhibits, which are covered by CL65-01-22;</td>
<td>Retain one copy permanently and Contact Washington State Archives for appraisal and transfer of paper/hard copy, electronic copy, microfilm, and/or any other format.</td>
<td>ARCHIVAL (Appraisal Required) ESSENTIAL (for Disaster Recovery) OPR</td>
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### 2. SUPERIOR COURT RECORDS

#### DISPOSITION AUTHORITY NUMBER (DAN)

<table>
<thead>
<tr>
<th>Continued From Previous Page</th>
<th>DESCRIPTION OF RECORDS</th>
<th>RETENTION AND DISPOSITION ACTION</th>
<th>DESIGNATION</th>
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</thead>
<tbody>
<tr>
<td>Continued From Previous Page</td>
<td>Case management records not part of the official court record covered in the Superior Court Services section of this schedule; Juror records covered by DAN CL2014-016, CL65-01-26 and/or CL65-01-27 and juror/witness cost bill records covered by CORE DAN GS2011-184; Legacy records covered in the Legacy Records section of this schedule. Note: Per GR 31(j), “Individual juror information, other than name, is presumed to be private.”</td>
<td>Retain permanently.</td>
<td>NON-ARCHIVAL ESSENTIAL (for Disaster Recovery) OPR</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>CL50-28-10 Rev. 1</th>
<th>Superior Court Case Files (Juvenile Court)</th>
<th>Retain permanently.</th>
<th>NON-ARCHIVAL ESSENTIAL (for Disaster Recovery) OPR</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Official documentation of Juvenile Court proceedings and filings. Includes sealed records. Juvenile Court is a division of the Superior Court pursuant to RCW 13.04.021. Includes, but is not limited to: Dockets, indexes, registers, etc.; Charging information, summons, clerk’s minutes; Motions, orders, judgments, no-contact orders, and other filings; Warrants, notices of appearance, failure to appear, etc.; Witness daily attendance lists; Guardian ad Litem (GAL) reports. Excludes juvenile records destroyed earlier in accordance with chapter 13.50 RCW covered by: Juvenile Records – Destruction Eligibility Notification Received from Administrative Office of the Courts (AOC) (DAN CL2014-011); Juvenile Records – Destruction Ordered by Court (DAN CL2014-012). Juvenile Records – Pardon Notification Received from Office of the Governor (DAN CL2014-013). Excludes juvenile case management records not part of the official court record covered in the Superior Court Services section of this schedule.</td>
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</tr>
</tbody>
</table>

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<table>
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<tr>
<th>DISPOSITION AUTHORITY NUMBER (DAN)</th>
<th>DESCRIPTION OF RECORDS</th>
<th>RETENTION AND DISPOSITION ACTION</th>
<th>DESIGNATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>CL65-01-44 Rev. 1</td>
<td><em>Verbatim Report of Proceedings</em>&lt;br&gt;Written transcript of proceedings or stipulated statement prepared for transmittal to Court of Appeals. The original transcript is docketed in electronic docket entry and sent to the Court of Appeals. A case file may contain transcripts of certain proceedings or portions of proceedings and become part of the case file. Transcripts or tapes are sent to the appellate court, are <em>not</em> kept with the Superior Court case file, and are <em>not</em> returned from the appellate court.</td>
<td>Retain until entered into the court docket&lt;br&gt;then&lt;br&gt;Transfer to appellate court.</td>
<td>NON-ARCHIVAL&lt;br&gt;NON-ESSENTIAL&lt;br&gt;OPR</td>
</tr>
</tbody>
</table>
3. FINANCIAL MANAGEMENT

This section includes records relating to financial management that are either not covered by or are exceptions to the Local Government Common Records Retention Schedule (CORE).

### 3.1 RESTITUTION AND TRUST FUND ADMINISTRATION

The activity of collecting and receipting statutory fees, fines, trust and support funds, disbursing funds, and investing monies of the Superior Court. See CORE – Financial Management for all financial records relating to the operations of the County Clerk’s office.

<table>
<thead>
<tr>
<th>DISPOSITION AUTHORITY NUMBER (DAN)</th>
<th>DESCRIPTION OF RECORDS</th>
<th>RETENTION AND DISPOSITION ACTION</th>
<th>DESIGNATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>CL2014-014 Rev. 0</td>
<td><strong>Restitution – Victim Deceased/Not Found</strong>&lt;br&gt;Records relating to restitution paid pursuant to court order where the victim entitled to restitution cannot be found or has died.&lt;br&gt;Includes, but is not limited to:&lt;br&gt;• Efforts to locate victim (correspondence, registered mail receipts, last known address, etc.);&lt;br&gt;• Transfer of funds to County Treasurer pursuant to RCW 7.68.290.&lt;br&gt;Excludes other unclaimed property/funds covered by CORE series GS55-05B-32 or GS55-05B-33.&lt;br&gt;Note: Reference to funds transfer should be recorded in case file.</td>
<td>Retain for 6 years after funds transferred to County Treasurer then Destroy.</td>
<td>NON-ARCHIVAL NON-ESSENTIAL OPR</td>
</tr>
<tr>
<td>CL65-01-46 Rev. 1</td>
<td><strong>Trust Fund Ledger</strong>&lt;br&gt;The entire record of trust account debits, credits, deposits, and withdrawals.&lt;br&gt;Excludes:&lt;br&gt;• Periodic statements and reconciliations covered by Financial Transactions – General (DAN GS2011-184);&lt;br&gt;• General and subsidiary ledgers for financial records of the County Clerk’s Office and Superior Court covered by General and Subsidiary Ledgers (DAN GS50-03A-15).</td>
<td>Retain permanently.</td>
<td>NON-ARCHIVAL NON-ESSENTIAL OPR</td>
</tr>
</tbody>
</table>
4. INFORMATION MANAGEMENT

This section includes records relating to information management that are either not covered by or are exceptions to the *Local Government Common Records Retention Schedule (CORE)*.

### 4.1 RECORDS CONVERSION

This section includes records relating to records conversion that are either not covered by or are exceptions to the *Local Government Common Records Retention Schedule (CORE)*.

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<th>DESCRIPTION OF RECORDS</th>
<th>RETENTION AND DISPOSITION ACTION</th>
<th>DESIGNATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>CL2010-085 Rev. 0</td>
<td><em>Source Records – Reproduced (Superior Court Records)</em> Superior Court records which have been reproduced in accordance with RCW 36.23.065 and provided that the converted records are retained in accordance with a current approved records retention schedule. Excludes records covered by <em>Legacy Records – Paper/Hard Copy (DAN CL2014-015)</em> and all other records designated as <em>Archival</em>, which must be appraised by Washington State Archives before destroying.</td>
<td>Retain until verification of successful conversion then Destroy.</td>
<td>NON-ARCHIVAL NON-ESSENTIAL OPR</td>
</tr>
</tbody>
</table>
5. JURY MANAGEMENT

The function of managing jurors and juries for Superior Court pursuant to chapter 2.36 RCW and all courts in the county or judicial district pursuant to RCW 2.36.095(2). Includes petit juries, grand juries, and juries of inquest.

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<tr>
<th>DISPOSITION AUTHORITY NUMBER (DAN)</th>
<th>DESCRIPTION OF RECORDS</th>
<th>RETENTION AND DISPOSITION ACTION</th>
<th>DESIGNATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>CL65-01-27 Rev. 1</td>
<td><strong>Jury Duty – General</strong></td>
<td>Retain until end of term for which juror was summoned then Destroy.</td>
<td>NON-ARCHIVAL NON-ESSENTIAL OPR</td>
</tr>
</tbody>
</table>

**Jury Duty – General**

Records relating to individuals being summoned to serve on a jury, serving as jurors, and/or being dismissed by the court, in accordance with Chapter 2.36 RCW. Includes, but is not limited to:

- Summons (acknowledged, returned by postal service as undeliverable, etc.);
- Biographical data for preliminary determination of statutory qualification (RCW 2.36.072);
- Waiver requests (RCW 2.36.100);
- Disqualifications pursuant to RCW 2.36.072(4);
- General questionnaires and information forms.

Excludes:

- Records covered by Jury Duty – Special Questionnaires (DAN CL2014-016);
- Lists of impaneled juror names filed with/in the case file covered by Superior Court Case Files (DAN CL65-01-08);
- Records covered by Jury List (Master and Source) (DAN CL65-01-26);
- Records used to prepare cost bill covered by Financial Transactions – General (DAN GS2011-184);
- Juror show cause orders and bench warrants for failure to appear covered by Filed Documents (Miscellaneous) (DAN CL2014-010).

*Per GR 31(j), “Individual juror information, other than name, is presumed to be private.”*  
*Per GR 15(h)(5), “This subsection shall not prevent the routine destruction of court records pursuant to applicable preservation and retention schedules.”*
<table>
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<tr>
<th>DISPOSITION AUTHORITY NUMBER (DAN)</th>
<th>DESCRIPTION OF RECORDS</th>
<th>RETENTION AND DISPOSITION ACTION</th>
<th>DESIGNATION</th>
</tr>
</thead>
</table>
| CL2014-016 Rev. 1 | **Jury Duty – Special Questionnaires**  
Special questionnaires filled out by prospective jurors and used by the judge and/or attorneys during the voir dire (juror selection) process for a specific case/trial. Excludes general questionnaires filled out by all potential jurors summoned for jury duty covered by Jury Duty – General (DAN CL65-01-27).  
**Reference:**  
- GR 31(j), “Individual juror information, other than name, is presumed to be private.”  
- SPROC 7 “No records, exhibits, or stenographic notes shall be considered for destruction in a case in which the death penalty has been imposed while the defendant is still alive. Before destroying any records, exhibits, or notes in a capital case, the clerk will provide 60 days notice...to the prosecuting attorney, to the defendant’s last known attorney of record, and to the defendant...” | **Retain** until completion of proceeding and expiration of appeal period for case for which juror was selected  
and  
**Retain** until death of defendant in capital cases where the death penalty has been imposed  
and  
Provide 60 days’ notice pursuant to SPROC 7 in all capital cases  
then  
**Destroy.** | NON-ARCHIVAL NON-ESSENTIAL OPR |
| CL65-01-26 Rev. 1 | **Jury List (Master and Source)**  
Records relating to the master jury list certified by the Superior Court and filed with the County Clerk in accordance with RCW 2.36.055 and GR 18.  
Includes, but is not limited to:  
- Jury source list containing names of registered voters (Office of the Secretary of State) and driver’s license/identicard holders (Department of Licensing) pursuant to RCW 2.36.054);  
- Master jury list (source lists merged, duplications removed, conflicts resolved).  
Excludes jury records covered by:  
- Jury Duty – General (DAN CL65-01-27);  
- Superior Court Case Files (DAN CL65-01-08). | **Retain** until list superseded  
then  
**Destroy.** | NON-ARCHIVAL NON-ESSENTIAL OPR |
## 6. LEGACY RECORDS

These are records no longer created and/or received by County Clerks and Clerks of the Superior Court; however, Clerks may still hold some of these records.

<table>
<thead>
<tr>
<th>DISPOSITION AUTHORITY NUMBER (DAN)</th>
<th>DESCRIPTION OF RECORDS</th>
<th>RETENTION AND DISPOSITION ACTION</th>
<th>DESIGNATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>CL65-01-06 Rev. 1</td>
<td>Bond Dockets</td>
<td>Retain permanently.</td>
<td>NON-ARCHIVAL NON-ESSENTIAL OPR</td>
</tr>
<tr>
<td></td>
<td>May have been bound volumes containing the original or copies of bonds filed by</td>
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</tr>
<tr>
<td></td>
<td>administrators, guardians, and executors as security copies of bonds in case files.</td>
<td></td>
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<tr>
<td></td>
<td>Excludes records covered by Bonds – Elected Official (DAN CL65-01-33).</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CL65-01-07 Rev. 1</td>
<td>Book of Levies</td>
<td>Retain one copy permanently and</td>
<td>ARCHIVAL (Appraisal Required) NON-ESSENTIAL OPR</td>
</tr>
<tr>
<td></td>
<td>May include post-judgment execution documents and events.</td>
<td>Contact Washington State Archives for appraisal and</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Record of debtors’ properties seized by the Sheriff on court order and sold to</td>
<td>selective retention of paper/hard copy, electronic,</td>
<td></td>
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<tr>
<td></td>
<td>leverage against debts in delinquency cases. Record shows names of parties,</td>
<td>and microfilm copies.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>date of execution, date of levy, date of sale, date of confirmation, and</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>description of real estate levied upon.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CL65-01-14 Rev. 1</td>
<td>Day Books</td>
<td>Retain permanently.</td>
<td>NON-ARCHIVAL ESSENTIAL (for Disaster Recovery) OPR</td>
</tr>
<tr>
<td></td>
<td>List of documents delivered to the clerk for filing.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CL65-01-16 Rev. 1</td>
<td>Depositions, Unpublished/Unopened</td>
<td>Retain until court order authorizing destruction of</td>
<td>NON-ARCHIVAL NON-ESSENTIAL OPR</td>
</tr>
<tr>
<td></td>
<td>Effective 9/1/1988, unpublished/unopened depositions, interrogatories and responses</td>
<td>record(s) has been signed and entered then Destroy.</td>
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<tr>
<td></td>
<td>are no longer filed with the Clerk.</td>
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<tr>
<td></td>
<td>Reference: CR 5(i), discovery material “shall not be filed with the court unless for</td>
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<td>use in a proceeding or trial or on order of the court”.</td>
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</tr>
<tr>
<td>DISPOSITION AUTHORITY NUMBER (DAN)</td>
<td>DESCRIPTION OF RECORDS</td>
<td>RETENTION AND DISPOSITION ACTION</td>
<td>DESIGNATION</td>
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</tr>
<tr>
<td>CL65-01-28 Rev. 1</td>
<td><em>Land Registration Files (Torrens Act)</em> Records filed in a land registration/Torrens case.</td>
<td>Retain one copy permanently and Contact Washington State Archives for appraisal and selective retention of paper/hard copy, electronic, and microfilm copies.</td>
<td>ARCHIVAL (Appraisal Required) ESSENTIAL (for Disaster Recovery) OPR</td>
</tr>
<tr>
<td>DISPOSITION AUTHORITY NUMBER (DAN)</td>
<td>DESCRIPTION OF RECORDS</td>
<td>RETENTION AND DISPOSITION ACTION</td>
<td>DESIGNATION</td>
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</tbody>
</table>
| CL2014-015 Rev. 0                | **Legacy Records – Paper/Hard Copy** | Superior Court records that were created or filed in paper/hard copy form, that have been reproduced in accordance with RCW 36.23.065, and that have been determined to possess enduring historical value in paper/hard copy form. Includes, but is not limited to:  
  - Territorial case files (official record of Washington Territory cases prior to statehood);  
  - Articles of incorporation;  
  - Business registers (or assumed business name certificates), trade names, etc.;  
  - Child support ledgers (payments received, to whom funds were disbursed, etc.);  
  - Coroner investigation files;  
  - Delayed birth certificates;  
  - Marriage index, applications, certificates, and/or waivers;  
  - Mother’s petition/pension, old age assistance claims, etc.;  
  - Naturalization (declarations, petitions, records, certificates, oaths, correspondence, docket, etc.);  
  - Professional registers/journals/certificates (medical practitioners, architects, etc.);  
  - Record of wills (not associated with a case file; may be bound volumes);  
  - Tide land appeals;  
  - U.S. Land Office records.  
Includes indexes and other finding aids. | Retain one copy permanently and Transfer paper/hard and electronic copy to Washington State Archives for permanent retention. | ARCHIVAL (Permanent Retention) ESSENTIAL (for Disaster Recovery) OPR |
GLOSSARY

Appraisal
The process of determining the value and disposition of records based on their administrative, legal, and fiscal use; their evidential and informational or research value; and their relationship to other records.

AR
Superior Court Administrative Rules.

Archival (Appraisal Required)
Designation for public records that may possess enduring legal and/or historical value and must be appraised by the Archives. Such records are to be evaluated, sampled, and weeded according to archival principles by Archives staff. Records appraised as non-archival may be destroyed after their retention has been met.

Archival (Permanent Retention)
Designation for public records that possess enduring legal and/or historical value and must not be destroyed. State government agencies must transfer these records to the Archives at the end of their minimum retention period. Local government agencies must either transfer these records to the Archives or retain and preserve them according to archival best practice until transferred to the Archives. Other than removing and disposing of duplicates, the Archives will not sample, weed, or otherwise dispose of records with this designation.

CR
Superior Court Civil Rules.

Disposition
Actions taken with records when they are no longer required to be retained by an agency. Possible disposition actions include transfer to the Archives and destruction.

Disposition Authority Number (DAN)
Control number for a specific records series in a retention schedule that authorizes a retention period and disposition action for records belonging to that series.
**Essential Records**
Records needed to respond to, and/or perform critical operations during/after, a disaster or emergency. They need to be protected through backup or enhance storage. (RCW 40.10.010)

**GR**
General Rules.

**JuCR**
Juvenile Court Rules.

**Local Records Committee**
Committee established by RCW 40.14.070 to review and approve disposition of local government records through records retention schedules. The Committee’s three members include the State Archivist and one representative each from the Office of the Attorney General and the State Auditor.

**Non-Archival**
Designation given to public records that do not possess sufficient historical value to be designated as “Archival.” Agencies must retain these records for the minimum retention period specified by the appropriate current records retention schedule. Agencies should destroy these records after their minimum retention period expires, provided the records are not required for litigation, public records requests, or other purposes required by law.

**Non-Essential Records**
Public records which are not required in order for an agency to resume its core functions following a disaster, as described in chapter 40.10 RCW.

**OFM (Office Files and Memoranda)**
Public records not defined and classified as official public records in RCW 40.14.010 and other documents or records as determined by the records committee to be office files and memoranda.

**OPR (Official Public Records)**
Public records necessary to document transactions relating to public property, public finances, and other agency business, or records determined by the records committee to be official public records.

**Public Records**
Records that have been created or received by any government agency in Washington State in connection with the transaction of public business regardless of physical form or characteristics.
RAP
Rules of Appellate Procedure.

Records Series
A group of records performing a specific function, which is used as a unit, filed as a unit, and may be transferred or destroyed as a unit. A records series may consist of a single type or a number of different types of documents that are filed together to document a specific function.

SPR
Superior Court Special Proceedings Rules.

SPRC
Superior Court Special Proceedings Rules – Criminal.

State Records Committee
Committee established by RCW 40.14.050 to review and approve disposition of state government records. Its four members include the State Archivist and one representative each from the Office of the Attorney General, Office of the State Auditor, and the Office of Financial Management.
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<td>Legacy Records – Paper/Hard Copy</td>
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<td>LEGACY RECORDS</td>
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See the Local Government Common Records Retention Schedule (CORE) for additional “Essential” records.

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Note: The use in this index of Superior Court Schedule refers to the Superior Courts Records Retention Schedule.

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