## This schedule applies to: Supreme Court

#### Scope of records retention schedule

This records retention schedule authorizes the destruction/transfer of the public records of the Supreme Court. The schedule is to be used in conjunction with the *State Government General Records Retention Schedule (SGGRRS)* which authorizes the destruction/transfer of public records common to all state agencies.

### Disposition of public records

Public records covered by records series within this records retention schedule (regardless of format) must be retained for the minimum retention period as specified in this schedule. Washington State Archives strongly recommends the disposition of public records at the end of their minimum retention period for the efficient and effective management of state resources.

Public records designation as "Archival (Permanent Retention)" must not be destroyed. Records designated as "Archival (Appraisal Required)" must be appraised by the Washington State Archives before disposition. Public records must not be destroyed if they are subject to ongoing or reasonably anticipated litigation. Such public records must be managed in accordance with the agency's policies and procedures for legal holds. Public records must not be destroyed if they are subject to an existing public records request in accordance with chapter 42.56 RCW. Such public records must be managed in accordance with the agency's policies and procedures for public records requests.

### Revocation of previously issued records retention schedules

All previously issued records retention schedules to the Supreme Court are revoked. The Supreme Court must ensure that the retention and disposition of public records is in accordance with current, approved records retention schedules.

### **Authority**

This records retention schedule was approved by the State Records Committee in accordance with RCW 40.14.050 on February 2, 2022.

Signature on File	Signature on File	Signature on File	Signature on File
For the State Auditor:	For the Attorney General:	For the Office of Financial Management: Gwen Stamey	Acting State Archivist:
Al Rose	Suzanne Becker		Terry Badger

## **REVISION HISTORY**

Version	Date of Approval	Extent of Revision
1.0	March 6, 2013	Consolidation of all existing disposition authorities (with minor, non-substantive revisions).
1.1	February 2, 2022	Minor revisions throughout the schedule.

For assistance and advice in applying this records retention schedule, please contact the Supreme Court's Records Officer or Washington State Archives at:

recordsmanagement@sos.wa.gov

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## 1. SUPREME COURT RECORDS

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
11-10-62779 Rev. 0	Attorney Discipline and Disability Proceedings  Files on attorneys subject to discipline; includes reprimands, suspensions, and disbarments. Also includes suspensions or other action taken based on disability.  Note: Some files may be confidential.	Retain for 10 years after final disposition of case then Transfer to Washington State Archives for permanent retention.	ARCHIVAL (Permanent Retention) NON-ESSENTIAL OPR
90-03-45878 Rev. 2	Attorney, LPO and LLLT Admission Orders  A record of attorneys, limited practice officers and limited license legal technicians admitted to practice in Washington, including the order granting admission, orders authorizing out-of-state swearing in, and recommendations for admission.	Retain for 6 years after end of calendar year then Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
90-03-45925 Rev. 5	Attorney, LPO and LLLT Files  Files on attorneys, limited practice officers and limited license legal technicians admitted to the Washington State Bar. Includes applications, admission documents. May also include copy of an order of suspension, reinstatement orders, and other changes of status.  Note: Pursuant to the Admission and Practice Rules, these files must be maintained as confidential.	Retain for 1 year after resignation, disbarment, or death then Transfer to Washington State Archives for permanent retention.	ARCHIVAL (Permanent Retention) NON-ESSENTIAL OFM

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
90-03-45909 Rev. 1	Audio Recordings of Oral Argument  Audio recording of oral argument by attorneys in cases before the courts.	Retain for 15 years after end of calendar year then Transfer to Washington State Archives for permanent retention.	ARCHIVAL (Permanent Retention) NON-ESSENTIAL OFM
08-09-61849 Rev. 1	Capital Case Committee Records  Original applications, meeting minutes and correspondence pertaining to the committee.	Retain for 2 years after end of calendar year then Transfer to Washington State Archives for permanent retention.	ARCHIVAL (Permanent Retention) NON-ESSENTIAL OFM
90-03-45901 Rev. 3	Case Docket  A record of cases scheduled for oral argument before the court.	Retain for 4 years after end of calendar year then Transfer to Washington State Archives for permanent retention.	ARCHIVAL (Permanent Retention) NON-ESSENTIAL OFM
90-03-45903 Rev. 4	Case File with Opinion  All documents filed in each case before the court that is decided by issuance of an opinion. Includes briefs, opinion, orders, correspondence, etc.	Retain for 10 years after final disposition of case then Transfer to Washington State Archives for permanent retention.	ARCHIVAL (Permanent Retention) NON-ESSENTIAL OPR

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
99-08-59264 Rev. 2	Case File without Opinion  All documents filed in any case before the court which is disposed of without the issuance of an opinion by the court.	Retain for 6 years after final disposition of case then  Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
08-09-61852 Rev. 1	Case Management System  Electronic database used for docketing of documents received in case files. Includes participant and attorney information, scheduling of motions and oral argument, status of case, and other information.		
96-06-56803 Rev. 2	Circulation Materials  Documents used in the circulation of cases for decision by the Court, including passing sheets, changes to opinions, memoranda of intention to sign majority, concurrence, or dissenting opinions, votes on opinions and related documents.	Retain for 6 years after mandate or certificate of finality issued then  Destroy.	NON-ARCHIVAL NON-ESSENTIAL OFM
90-03-45875 Rev. 1	Court Rules and Adoption Orders  Original orders adopting rules for all levels of Washington's courts. Includes orders publishing proposed rules for comment and comments received. The rules are codified and printed annually.	Retain for 10 years after end of calendar year then Transfer to Washington State Archives for permanent retention.	ARCHIVAL (Permanent Retention) NON-ESSENTIAL OPR

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
90-03-45907 Rev. 1	Department Motion Calendar  The agenda for the motion calendar heard by the Departments of the Court.	Retain for 2 years after end of calendar year then Destroy.	NON-ARCHIVAL NON-ESSENTIAL OFM
90-03-45880 Rev. 1	En Banc Conference Agenda and Minutes  Agenda and minutes of meetings of en banc meetings of the justices to consider procedural, administrative and other matters. May also include Bar matters.	Retain for 2 years after end of calendar year then Destroy.	NON-ARCHIVAL NON-ESSENTIAL OFM
90-03-45914 Rev. 1	History of Supreme Court and Justices  Various materials, including newspaper clippings, photos, reports, lists, etc. pertaining to the Justices and the history of the court.	Retain for 2 years after end of Justice's term then Transfer to Washington State Archives for permanent retention.	ARCHIVAL (Permanent Retention) NON-ESSENTIAL OFM
08-09-61848 Rev. 0	Law Clerk Register  General information on law clerks that have served with the Court, indexed by name of law clerk, by justice, and by date.	Retain for 25 years after end of calendar year then Transfer to Washington State Archives for permanent retention.	ARCHIVAL (Permanent Retention) NON-ESSENTIAL OFM

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
90-03-45899 Rev. 2	Legal Interns APR 9  Applications and orders admitting legal interns under APR 9.  Note: The legal intern applications are confidential pursuant to the Admission and Practice Rules (APR).	Retain for 6 years after end of calendar year then Transfer to Washington State Archives for permanent retention.	ARCHIVAL (Permanent Retention) NON-ESSENTIAL OPR
90-03-45879 Rev. 2	Limited Practice Admissions (APR 8)  A record of attorneys admitted to limited practice under APR 8, including interim admissions, house counsel admissions and indigent representation admissions. Includes application and Washington State Bar Association (WSBA) recommendation for admission, admission order, as well as voluntary cancellations and revocations.  Note: Applications are confidential pursuant to the Admission and Practice Rules (APR).	Retain for 6 years after cancellation or revocation then Transfer to Washington State Archives for permanent retention.	ARCHIVAL (Permanent Retention) NON-ESSENTIAL OPR
90-03-45876 Rev. 3	Miscellaneous Orders  Orders of the Supreme Court not related to cases before the court, including orders relating to boards, commissions, committees, court closures, proclamations, etc.	Retain for 15 years after end of calendar year then Transfer to Washington State Archives for permanent retention.	ARCHIVAL (Permanent Retention) NON-ESSENTIAL OPR

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
90-03-45882 Rev. 1	Oaths of Office by Justices and Departmental Employees  A copy of the oath of office, resignations and other documents related to justices and departmental appointments (Clerk, Deputy Clerk, Commissioner, Deputy Commissioner, Law Librarian, and Reporter of Decisions). Includes orders making departmental appointments.  Note: Some of the original oaths are filed with the Secretary of State, and only a copy is kept by the Clerk.	Retain for 50 years after end of calendar year then Transfer to Washington State Archives for permanent retention.	ARCHIVAL (Permanent Retention) NON-ESSENTIAL OFM
90-03-45892 Rev. 1	Pro Tem Judges for the Court of Appeals  Orders signed by the chief justice assigning temporary judges to the Court of Appeals pursuant to RCW 2.06.150.	Retain for 6 years after date of order then Transfer to Washington State Archives for permanent retention.	ARCHIVAL (Permanent Retention) NON-ESSENTIAL OPR
22-02-69646 Rev. 0	Requests for Copies of Case or Administrative Records  Correspondence requesting copies of case or administrative records.  Note: The Court is not subject to the public records law that applies to agencies (chapter 42.56 RCW) so the general schedule cannot be used and the Court needs a special schedule.	Retain for 2 years from date of request then Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
90-03-45913 Rev. 2	Swearing in Ceremonies and Other Ceremonies  Files on ceremonies for swearing in of justices, swearing in new attorneys, memorials and other court ceremonies and celebrations. Programs, invitations and other information may be included.	Retain for 4 years after end of calendar year then Transfer to Washington State Archives for permanent retention.	ARCHIVAL (Permanent Retention) NON-ESSENTIAL OFM

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
90-03-45894 Rev. 2	Trial Judge Reports on Death Penalty Cases  Original reports of the trial judge on case files of defendants sentenced to life without possibility of parole or execution.	Retain for 15 years after end of calendar year then Transfer to Washington State Archives for permanent retention.	ARCHIVAL (Permanent Retention) NON-ESSENTIAL OPR
08-09-61850 Rev. 1	Unfiled Papers  Miscellaneous correspondence or pleadings that are not part of a case file.	Retain for 1 year after end of calendar year then Transfer to Washington State Archives for appraisal and selective retention.	ARCHIVAL (Appraisal Required) NON-ESSENTIAL OFM

### **GLOSSARY**

### **Appraisal**

The process of determining the value and disposition of records based on their administrative, legal, and fiscal use; their evidential and informational or research value; and their relationship to other records.

### **Archival (Appraisal Required)**

Designation for public records that may possess enduring legal and/or historical value and must be appraised by the Archives. Such records are to be evaluated, sampled, and weeded according to archival principles by Archives staff. Records appraised as non-archival may be destroyed after their retention has been met.

### **Archival (Permanent Retention)**

Designation for public records that possess enduring legal and/or historical value and must not be destroyed. State government agencies must transfer these records to the Archives at the end of their minimum retention period. Local government agencies must either transfer these records to the Archives or retain and preserve them according to archival best practice until transferred to the Archives. Other than removing and disposing of duplicates, the Archives will not sample, weed, or otherwise dispose of records with this designation.

### Disposition

Actions taken with records when they are no longer required to be retained by an agency. Possible disposition actions include transfer to the Archives and destruction.

### **Disposition Authority Number (DAN)**

Control number for a specific records series in a retention schedule that authorizes a retention period and disposition action for records belonging to that series.

#### **Essential Records**

Public records that agencies must have in order to maintain or resume business continuity or to document the legal standing and rights of individuals and organizations. While the retention requirements for essential records may range from very short-term to archival, these records are necessary for an agency to resume its core functions following a disaster. Security backups of these public records should be created and may be deposited with the Archives in accordance with chapter 40.10 RCW. Copies of master indexes, lists, registers, tracking systems, databases, and other finding aids should also be transferred with the records.

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#### **Local Records Committee**

Committee established by RCW 40.14.070 to review and approve disposition of local government records through records retention schedules. The Committee's three members include the State Archivist and one representative each from the Office of the Attorney General and the State Auditor.

#### Non-Archival

Designation given to public records that do not possess sufficient historical value to be designated as "Archival." Agencies must retain these records for the minimum retention period specified by the appropriate current records retention schedule. Agencies should destroy these records after their minimum retention period expires, provided the records are not required for litigation, public records requests, or other purposes required by law.

#### **Non-Essential Records**

Public records which are not required in order for an agency to resume its core functions following a disaster, as described in chapter 40.10 RCW.

### **OFM (Office Files and Memoranda)**

Public records not defined and classified as official public records in RCW 40.14.010 and other documents or records as determined by the records committee to be office files and memoranda.

### **OPR (Official Public Records)**

Public records necessary to document transactions relating to public property, public finances, and other agency business, or records determined by the records committee to be official public records.

#### **Public Records**

Records that have been created or received by any government agency in Washington State in connection with the transaction of public business regardless of physical form or characteristics.

#### Records Series

A group of records performing a specific function, which is used as a unit, filed as a unit, and may be transferred or destroyed as a unit. A records series may consist of a single type or a number of different types of documents that are filed together to document a specific function.

#### State Records Committee

Committee established by RCW 40.14.050 to review and approve disposition of state government records. Its four members include the State Archivist and one representative each from the Office of the Attorney General, Office of the State Auditor, and the Office of Financial Management.

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## **ESSENTIAL RECORDS INDEX**

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